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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 12

**Complete if Known**

Application Number	10/565,484
Filing Date	January 17, 2006
First Named Inventor	CHEUNG, Nai-Kong V.
Art Unit	1823
Examiner Name	Eric Olson
Attorney Docket Number	639-C-PCT-US

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/ESO/	1	US- 7,030,101	04-18-2006	Pavliak et al.
	2	US- 6,143,731	11-07-2000	Jamas et al.
	3	US- 6,117,850	09-12-2000	Patchen et al.
	4	US- 6,020,324	02-01-2000	Jamas et al.
	5	US- 5,817,643	10-06-1998	Jamas et al.
	6	US- 5,811,542	09-22-1998	Jamas et al.
	7	US- 5,804,199	09-08-1998	Aasjord et al.
	8	US- 5,783,569	07-21-1998	Jamas et al.
	9	US- 5,726,023	03-10-1998	Cheever et al.
	10	US- 5,702,719	12-30-1997	Byron A. Donzis
	11	US- 5,696,079	12-09-1997	Lana et al.
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	13	US- 5,607,677	03-04-1997	Jamas et al.
	14	US- 5,576,015	11-19-1996	Byron A. Donzis
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	16	US- 5,037,972	08-06-1991	Jamas et al.
	17	US- 4,992,540	02-12-1991	Jamas et al.
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	19	US- 4,900,722	02-13-1990	Williams et al.

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
/ESO/	40	WO 2007/84661	07-26-2007	Skoda-Kohlerg Institute for Cancer Research
/ESO/	41	WO 2006/119395	11-09-2006	Biopolymer Engineering
/ESO/	42	WO 2006/85895	08-17-2006	Biopolymer Engineering and Univ Louvain
/ESO/	43	WO 2005/49044	06-02-2005	Laboratoires Goemar SA
/ESO/	44	WO 2005/27938	03-31-2005	Laboratoires Goemar SA
/ESO/	45	WO 2005/27936	03-31-2005	Laboratoires Goemar

Examiner Signature	/Eric Olson/	Date Considered	12/12/2008
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**INFORMATION DISCLOSURE  
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Sheet

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Application Number	10/565,484
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First Named Inventor	CHEUNG, Nai-Kong V.
Art Unit	1623
Examiner Name	Eric Olson
Attorney Docket Number	639-C-PCT-US

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/ESO/	20	US 4,705,780	11-10-1987	Massot et al.	
/ESO/	21	US 4,454,289	06-12-1984	Nakajima et al.	
/ESO/	22	US 4,343,784	08-10-1982	Massot et al.	
/ESO/	23	US 4,251,519	02-17-1981	Robbins et al.	
/ESO/	24	US 3,975,553	08-17-1976	Henri Griffon	
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/ESO/	31	US 2006-0020128	01-26-2006	Nai-Kong V. Cheung	
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/ESO/	46	WO 2004/30613	03-16-2004	University of Louisville Research		
/ESO/	47	WO 2003/54077	07-03-2003	Ceparo Inc.		
/ESO/	48	WO 2003/004507	01-16-2003	Research Center for Eco-Environmental Sci		
/ESO/	49	WO 2002/58711	08-01-2002	Sloan-Kettering Institute for Cancer Research		
/ESO/	50	WO 2001/80807	11-01-2001	Laboratoires Gomar SA		
/ESO/	51	WO 2001/68105	11-20-2001	Eurand Pharmaceutical		

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Sheet 3 of 12

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**U. S. PATENT DOCUMENTS**

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/ESO/	52	WO 1999/52548	10-21-1999	Lees et al.
/ESO/	53	WO 1991/03248	03-21-1991	Alpha Beta Technology Inc.
/ESO/	54	EP 0463540A1	02-01-1992	Taito Co., et al.
/ESO/	55	DE 30 19 614 A1	12-03-1981	Matsueda et al.
			1	

Examiner  
Eric Olson /Eric Olson/ Date  
12/12/2008

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Substitute for form 1449/PTO				Complete if Known																					
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<table border="1"> <tr> <td>Application Number</td> <td colspan="3">10/565,484</td> </tr> <tr> <td>Filing Date</td> <td colspan="3">January 17, 2006</td> </tr> <tr> <td>First Named Inventor</td> <td colspan="3">CHEUNG, Nai-Kong V.</td> </tr> <tr> <td>Art Unit</td> <td colspan="3">1623</td> </tr> <tr> <td>Examiner Name</td> <td colspan="3">Eric Olson</td> </tr> </table>				Application Number	10/565,484			Filing Date	January 17, 2006			First Named Inventor	CHEUNG, Nai-Kong V.			Art Unit	1623			Examiner Name	Eric Olson		
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Examiner Name	Eric Olson																								
Sheet	4	of	12	Attorney Docket Number																					
					639-C-PCT-US																				

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
/ESO/	37	Supplementary Partial European Search Report, February 5, 2008, for European Application No. EP 02 70 7502, filed August 4, 2003 for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH.		
/ESO/	38	PCT International Search Report for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, September 26, 2007, Int'l Application No. PCT/US07/01427 (Atty. Dkt. #639-F-PCT), Filed January 18, 2007.		
/ESO/	39	PCT Written Opinion of the International Searching Authority for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, September 26, 2007, Int'l Application No. PCT/US07/01427 (Atty. Dkt. #639-F-PCT), Filed January 18, 2007.		
/ESO/	56	Chinese Office Action, May 9, 2008, for SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH, Chinese Application No. 200480020356.6 (Atty. Dkt. #639-C-PCT-CN), Filed January 16, 2006, corresponding to PCT/US04/23099.		
/ESO/	57	U.S. Office Action, March 5, 2008, for Nai-Kong V. CHEUNG, U.S. Serial No. 11/334,763 (Atty. Dkt. #639-E-US), Filed January 17, 2006.		
/ESO/	58	U.S. Office Action, August 7, 2007, for Nai-Kong V. CHEUNG, U.S. Serial No. 11/218,044, Filed August 31, 2005. (Atty Dkt. #639-BZ-PCT-US).		
/ESO/	59	U.S. Office Action, August 7, 2007, for Nai-Kong V. CHEUNG, U.S. Serial No. 10/621,027, Filed July 16, 2003. (Atty. Dkt. #639-B-PCT-US).		
/ESO/	60	U.S. Office Action, January 4, 2007, for Nai-Kong V. CHEUNG, U.S. Serial No. 10/621,027, Filed July 16, 2003. (Atty. Dkt. #639-B-PCT-US).		
/ESO/	61	U.S. Office Action, November 22, 2006, for Nai-Kong V. CHEUNG, U.S. Serial No. 11/218,044, Filed August 31, 2005. (Atty. Dkt. #639-BZ-PCT-US).		
/ESO/	62	U.S. Office Action, March 10, 2006, for Nai-Kong V. CHEUNG, U.S. Serial No. 11/218,044, Filed August 31, 2005. (Atty. Dkt. #639-BZ-PCT-US).		

Examiner Signature	/Eric Olson/	Date Considered	12/12/2008
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				<b>Filing Date</b>	January 17, 2006
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Sheet	5	of	12	<b>Attorney Docket Number</b>	639-C-PCT-US

<b>OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS</b>					
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/ESO/	63	U.S. Office Action, March 6, 2006, for Nai-Kong V. CHEUNG, U.S. Serial No. 10/621,027, Filed July 16, 2003. (Atty. Dkt. #639-B-PCT-US).			
/ESO/	64	U.S. Office Action, July 13, 2005, for Nai-Kong V. CHEUNG, U.S. Serial No. 10/621,027, Filed July 16, 2003. (Atty. Dkt. #639-B-PCT-US).			
/ESO/	65	U.S. Office Action, December 17, 2004, for Nai-Kong V. CHEUNG, U.S. Serial No. 10/621,027, Filed July 16, 2003, (Atty. Dkt. #639-B-PCT-US).			
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/ESO/	70	BERGMAN et al., 1999, "Treatment of Neoplastic Meningeal Xenografts by Intraventricular Administration of an Antiganglioside Monoclonal Antibody, 3F8," Int. J. Cancer, 82:538-548			
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/ESO/	73	BOHN, J.A., and BeMiller, J.N., 1995, "(1→3)- $\beta$ -Glucans as biological response modifiers: a review of structure-functional activity relationships," Carbohydrate Polymers, 28:3-14				
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/ESO/	81	DAMGE et al., December 1996, "Intestinal absorption of PLAGA microspheres in the rat," J. Anat., 189:491-501				
/ESO/	82	D'AMICO et al., 2000, "Molecular Biologic Substaging of Stage I Lung Cancer According to Gender and Histology," Ann. Thorac. Surg., 69:882-886				

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/ESO/	83	DAVID et al., 1996, "Growth arrest of solid human neuroblastoma xenografts in nude rats by natural IgM from healthy humans," <i>Nature Medicine</i> , 2:686-689		
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				First Named Inventor	CHEUNG, Nai-Kong V.
				Art Unit	1623
				Examiner Name	Eric Olson
Sheet	8	of	12	Attorney Docket Number	639-C-PCT-US

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/ESO/	103	KOTERA, Y., et al., June 1, 1994, "Humoral Immunity against a Tandem Repeat Epitope of Human Mucin MUC-1 in Sera from Breast, Pancreatic, and Colon Cancer Patients," <i>Cancer Res.</i> , 54:2855-2860			
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